

TERRITORY OF THE VIRGIN ISLANDS

**THE SECURITIES AND INVESTMENT BUSINESS ACT, 2010
("the Act")**

**INVESTMENT BUSINESS (APPROVED MANAGERS) REGULATIONS, 2012
("the Regulations")**

**CERTIFICATE OF APPROVAL AS AN APPROVED INVESTMENT MANAGER
[Regulation 7(1)]**

By virtue of the powers granted by sections 40C(1) and 62 of the Financial Services Commission Act, 2001 and section 21 of the Act and in accordance with regulations 3(1),7(1) and 7(2)b of the Regulations, the Financial Services Commission hereby grants certification to

Smart Alpha Capital Corporation

to act as an approved investment manager in the Virgin Islands.

This certification shall remain in force unless it is suspended or revoked in accordance with section 38 of the Financial Services Commission Act, 2001.

Given under the seal of the)
Commission hereto affixed)
by Glenford Malone)
a duly authorised officer of the)
Commission this 8th day of)
.....October..... 2019)




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Financial Services Commission

Certificate No. IBR/AIM/19/0312

This written certification is the property of the British Virgin Islands Financial Services Commission and should be surrendered to the Commission's office upon demand. A current list of licensees may be viewed on the Commission's website at www.bvifsc.vg